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Status of marine fish stock assessment in India and development of a sustainability index

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Introduction

India has a coastline of 8129 km. Landings by commercial fishing vessels takes place at 1332 centres during day and night by 58,911 mechanized craft, 75,591 motorized (with outboard engine) and 104,270 traditional craft (CMFRI, 2006). Marine fisheries are an important source of food, employment and foreign exchange. About one million people work directly in this sector, producing 3 million tonnes annually valued at about 3 billion US \$ at production level (CMFRI, 2007). India earns 1.6 billion US \$ by exporting fish and fishery products. India is among the top ten fish producing countries of the world, contributing 3.5% to the total world marine fish production. Concerned about the status of marine fish stocks in the Indian EEZ, the country has put in place appropriate institutional mechanisms to monitor and forecast fishery yields for the last 25 years.

Collection of temporal and spatial data on commercial fish catch and effort

Realizing the importance of a reliable database in fish stock assessment and fisheries management, the Central Marine Fisheries Research Institute, Cochin initiated the process of collection of data on catch and effort of commercial fishing boats along the coastline of mainland based on scientific sampling technique in 1947. Data on marine fishing villages, landing centers, craft and gear were collected that could form a frame for developing an appropriate sampling design. The first attempt in that direction was made in 1948 to collect marine fish catch statistics. Pilot surveys were conducted in different regions of the country between 1950 and 1955 (Banerji and Chakraborty, 1972). Initially the surveys were based on a three-stage stratified sampling. From 1959, the CMFRI is following a multi-stage stratified sampling design along the west coast of India, and a full-fledged sampling along the west and east coasts became operational since 1961. Considering the changing scenario in the fisheries sector, the sampling is periodically updated with enhanced scope and coverage.

The sampling design enables estimation of landings by resource (fish groups/ species) and region (maritime state). In this design, the stratification is over space and time (Srinath *et al.*, 2005). Over space, each maritime state is divided into nonoverlapping zones on the basis of fishing intensity and geographical considerations. There are few major fishing harbours/centres, which are classified as single centre zones with extensive coverage. The stratification over time is a calendar month. One zone and one calendar month is a space-time stratum and primary stage sampling units are landing centre days.

The data thus collected are processed. As the first step, codes are applied for major resource groups and commercially important species. A two-digit code for major resource groups and a four-digit code for individual species are assigned (CMFRI, 2000). After coding, the data are computerized and raised to find out monthly landings of each resource group/species by gear in the zone/maritime state. A software has been developed by the Institute for estimation of marine fish landings.

The fishing effort of each craft and gear are recorded. There are separate forms for mechanized and motorized units; and traditional units. The number of fishing units landed on the days of observation, length of craft, type of gear, date and time of departure of units from the landing centre, number of hauls, depth of hauls, duration of actual fishing, manpower employed, weather and sea state are recorded. Thus the fishing efforts in terms of number of units, number of hauls and fishing hours are available, and it is possible to calculate catch rate in terms of number of units and fishing hours. As the observation has spatial and temporal coverage, the catch and effort of directed and nondirected fisheries of all types that are landed along the mainland of India are covered in the database.

Although the taxonomic resolution of the data collected is high, there is considerable data reduction during the data processing to facilitate easier reporting. Consequently the catch data records which have more than 1000 species names were reduced to 83 species groups. To enable the reporting of actual species caught (fished taxa biodiversity), the original data records are being re-entered from the original field data sheets using appropriate software and estimates are made and stored in MS ACCESS by developing an estimation software in C++ and Visual Basic code for exporting data. This database is proposed to be transformed into an Oracle format.

Status of Stock Assessment in India

Assessments for coastal stocks are made from commercial fish catches by CMFRI and for oceanic stocks from exploratory surveys by Fishery Survey of India (FSI). Since 1991 the Department of Animal Husbandry, Dairying and Fisheries (DAHD&F), Ministry of Agriculture is estimating the potential yield of Indian EEZ in collaboration with CMFRI and FSI every 10 years. These organizations are also undertaking marine fishery census periodically and the next census will take place in 2010.

Since its establishment in 1947, the CMFRI is monitoring the biological characteristics of fish resources caught along the Indian coast. In the last 25 years, the focus of capture fisheries research has expanded to stock assessment of commercially important species of small and large pelagics, demersal finfishes, crustaceans and cephalopods. The stocks are continuously monitored through resource, gear and region-based research projects. The technical activities of these projects include monitoring spawning, fecundity, recruitment, diet composition, growth, mortality and status of exploitation to estimate biological reference points, MSY, spawning stock and standing stock biomass and to develop predictive models. The results are consolidated in the institute's Annual Reports and published from time to time in research journals. The findings of the projects are also shared with government fisheries departments to facilitate developing fisheries management policies and acts. A survey of publications on capture fisheries in the last 25 years shows that 264 records of growth and mortality coefficients and exploitation rates are available for 140 stocks of 98 species. A database on these records has been created in MS ACCESS, but further consolidation is needed as all published information have not been covered. It is noticed that estimates are available for several stocks along SW (southwest), NW (northwest) and SE (southeast) coasts, but there are only a very few records for NE (northeast) coast, Lakshadweep (LAK) Island and Andaman and Nicobar Islands (AN).

We observed that the methodology of stock assessment has remained almost uniform through the time period. As a thumb rule, growth parameters are estimated by length frequency method. Total mortality (Z) is estimated mostly by length converted catch curve method and natural mortality by the empirical relationship derived by Pauly (1980). In recent years, the standing stocks and spawning stock biomass are estimated by length based virtual population analysis (VPA); and prediction models have been developed using Beverton and Holt method (1957) and Thompson and Bell method (1934). Maturity stages of fishes are recorded using standard scales proposed by ICES for finfishes and standard 5-point and 4point maturity scales for crustaceans and cephalopods, respectively. Fecundity measurements are usually *in-situ* observation and do not indicate annual reproductive potentials. Generally, spawning months with range and peaks are known, but the frequency of spawning is not recorded. Diet compositions have been estimated for several species in the last 5 decades, but most of the earlier records were qualitative. Recently, quantitative diet compositions are recorded for estimating index of relative importance (IRI) for deriving trophic levels. In the last 10 years, trophic models have been developed using ECOPATH with ECOSIM for the SW coast (Vivekanandan et al., 2003) and Karnataka coast (Mohamed et al., 2008) and models are under development for the NW coast and Gulf of Mannar (SE coast).

There is scope to improve the stock assessments by validating growth estimates by reading growth rings in hard parts; by employing acoustic surveys and tagging programmes, and by strengthening the deep sea surveys. In spite of availability of a large amount of data on fish stock estimates and oceanographic parameters (collected by National Institute of Oceanography), only a few models exist to understand the relationship between physical, chemical and biological oceanographic parameters, and fish distribution and abundance. Delineating the impacts of climatic and oceanographic factors and anthropogenic interventions (other than fishing) from fishing impacts remains to be addressed.

Development of Sustainability Index for Indian Marine Fish (siFISH)

Taking advantage of the availability of data on the biological characteristics, exploitation status and population parameters for 98 species of finfish, crustaceans and mollusks from different publications in peer-reviewed journals and grey literature, we initiated development of siFISH. The idea is to rank the species based on 13 attributes under 4 broad categories, viz., biological, exploitation, distribution and habitat productivity. The 13 attributes were derived from the following list of information/ data:

| Attribute | Description |
|-------------|----------------------------------|
| ISSCAP CODE | FAO code |
| Species | Species name |
| Family | Name of the family |
| SpcID | Four digit species code of CMFRI |

List of attributes and Ranks

| StudyLocality | Study Locality |
|-------------------|--|
| | Region to which the study area belongs (one among NE, SE, SW, |
| Region | NW, LAK, IND) |
| StartYear | Start year of data collection |
| EndYear | End year of data collection |
| Gear | Gear from which samples were collected |
| GScore | Score from gear wise catch using vulnerability score of Bjordal (2002) |
| GRank | Rank for the gear score |
| | Sex of the animal (options are M, F and C representing Males, |
| Sex | Females and Combined) |
| K | Annual growth rate, K |
| KRank | Rank of K scores |
| M | Natural mortality, M |
| F | Fishing mortality, F |
| Z | Total mortality, Z |
| Tzero | Age at zero length |
| ExpRate | Exploitation rate ($E = F/Z$) |
| ERRank | Rank for the exploitation rate |
| LenYear1 | Length at age 1year |
| LenYear2 | Length at age 2 years |
| LenYear3 | Length at age 3 years |
| LenYear4 | Length at age 4 years |
| Current Yield | Average landings for 2007-08 in tonnes |
| Yield | Yield during the study period |
| FecundityMin | Minimum fecundity |
| FecundityMax | Maximum fecundity |
| Fecundity | Average fecundity |
| FcRank | Rank based on Fecundity |
| MeanSizeMin | Minimum mean length |
| MeanSizeMax | Maximum mean length |
| MeanSize | Mean length |
| LengthRangeMin | Minimum length in the sample |
| LengthRangeMax | Maximum length in the sample |
| Lr | Length at recruitment |
| Linf | Asymptotic length |
| LinfRank | Ranked asymptotic length |
| MeanSizeByLinf | Ratio of Mean size to Linfinity |
| MeanSizeByLm | Ratio of Mean size to Lm |
| LrByLinf | Ratio of recruitment length to asymptotic length |
| LrRank | Rank of Recruitment Vulnerability |
| LmMin | Minimum length at first maturity |
| LmMax | Maximum length at first maturity |
| Lm | Average length at first maturity |
| LmBL | Ratio of Lm to Linfinity |
| LmRank | Ranked Reproductive Load |
| SpawningSeason | Spawing season (months) |
| NumSpawningMonths | Number of spawning months |
| NSMRank | Rank based on number of spawning months |
| Dist | Percentage distribution in continental shelf area |
| DistRank | Distribution Rank |
| MTL | Mean Trophic Level |
| MTLRank | Mean Trophic Level Rank |

| BDL | Maximum Body Depth Standard Length Ratio |
|-----------|---|
| BDLRank | Escapement/Retainment Rank |
| CPI | Coastal Productivity Index |
| CPIRank | Rank of Coastal Productivity Index |
| PriceRank | Target Fishing Rank based on market price |
| RankTotal | Total of the 13 ranks |

Ranking Logic for Attributes

Each attribute was scaled in a rank of 1 to 6 based on the minimum and maximum values. In this ranking, a score of 6 is assigned to highly sustainable species/stock; 5 to sustainable; 4 and 3 to moderately sustainable stocks; 2 to low sustainability and 1 to very low sustainability. The details of the ranking scores for each attribute are given below.

a) Biological

| Annual growth | Rank | Remarks |
|-----------------|------|--|
| coefficient (K) | | |
| > 1.5 | 6 | Growth was estimated from length frequency data using |
| 1.2 – 1.5 | 5 | FiSAT. The highest sustainability rank was given to |
| 0.9 – 1.19 | 4 | species exhibiting higher K values and a frequency table |
| 0.6 - 0.89 | 3 | was generated. |
| 0.3 - 0.59 | 2 | Source: Publications in journals and reports |
| < 0.3 | 1 | (n = 264) |

| L _{infinity} (mm) | Rank | Remarks |
|----------------------------|------|---|
| < 200 | 6 | L _{infinity} was estimated from LF data using FiSAT. The |
| 200-400 | 5 | highest rank was given to species of small size and a |
| 401-600 | 4 | frequency table was generated. |
| 601-800 | 3 | Source: Publications in journals and reports |
| 801-1000 | 2 | (n = 264) |
| > 1000 | 1 | |

| Trophic level | Rank | Remarks |
|---------------|------|---|
| 2.0 - 2.4 | 6 | MTL were estimated from diet studies, from developed |
| 2.5 - 2.9 | 5 | trophic models and FishBase. The highest rank was given |
| 3.0 - 3.4 | 4 | to species with low trophic level and a frequency table was |
| 3.5 - 3.9 | 3 | generated. |
| 4.0 - 4.5 | 2 | (n = 253) |
| > 4.5 | 1 | |

| Maximum body | Rank | Remarks |
|----------------|------|---|
| depth/standard | | |
| length ratio | | |
| > 20 | 6 | This ratio was estimated from the ratio of maximum body |
| 15 - 19 | 5 | depth by standard length of finfishes. The highest rank |
| 10 - 14 | 4 | was given to species with high ratio considering the |
| 5 - 9 | 3 | superior probability of escapement of the species from |
| 3 - 5 | 2 | fishing gears. |
| < 3 | 1 | Source: Vivekanandan (unpublished) |
| | | (n = 142) |

| (Lm/L_{∞}) ratio | Rank | Remarks |
|-------------------------|------|--|
| 0.0 - 0.05 | 6 | This ratio indicates the reproductive load of the species. A |
| 0.06 - 0.10 | 5 | ratio of 0.5 (median) was ranked highest, and other ranks |
| 0.11 - 0.15 | 4 | were based on the deviation from the median. |
| 0.16 - 0.20 | 3 | Source: Analysis from publications in journals and reports |
| 0.21 - 0.25 | 2 | (n = 92) |
| > 0.25 | 1 | |

| Number of spawning months | Rank | Remarks |
|---------------------------------|------|--|
| 11 & 12 | 6 | This rank was based on the number of months a fish |
| 9 & 1 0 | 5 | spawns. A species spawning throughout the year (12 |
| 7 & 8 | 4 | months) was ranked the highest. |
| 5&6 | 3 | Source: Analysis from publications in journals and reports |
| 3 & 4 | 2 | (n = 41) |
| 1 & 2 | 1 | |

| Measured fecundity | Rank | Remarks |
|-----------------------|------|--|
| > 150,000 | 6 | Fecundity measurements are usually <i>in-situ</i> observations |
| 100,000–150,000 | 5 | and do not indicate annual reproductive potentials. A |
| 50,001-100,000 | 4 | species having high fecundity was ranked the highest. |
| 25,001-50,000 | 3 | Source: Publications in journals and reports |
| 2001-25,000 | 2 | (n = 228) |
| < 2001 | 1 | |

b) Exploitation

| Susceptibility to | Rank | Remarks |
|-------------------|------|--|
| fishing gear | | |
| < 5 | 6 | Most of the species are exploited by a number of gears. |
| 5 – 7.5 | 5 | Proportional weightage was given to catch contribution by |
| 7.6 - 10 | 4 | different gears to each species. Subsequently, a catch |
| 10.1 - 12.5 | 3 | susceptibility score was assigned for each species to |
| 12.5 - 15.0 | 2 | different gears following Bjordal (2002) and a product of |
| > 15 | 1 | these values was obtained which was scaled to 6. A species |

| Lr/L_{∞} ratio | Rank | Remarks |
|-----------------------|------|--|
| > 0.6 | 6 | Length at recruitment and L_{∞} were derived from published |
| 0.5 - 0.59 | 5 | records. Recruitment at larger sizes was given higher |
| 0.4 - 0.49 | 4 | ranking. |
| 0.3 - 0.39 | 3 | Source: Publications in journals and reports |
| 0.2 - 0.29 | 2 | (n = 135) |
| < 0.2 | 1 | |

| Exploitation | Rank | Remarks |
|--------------|------|--|
| rate | | |
| < 0.4 | 6 | E was calculated from F/Z . A species with low ratio was |
| 0.4 - 0.49 | 5 | given higher ranking. |
| 0.5 - 0.59 | 4 | Source: Publications in journals and reports |
| 0.6 - 0.69 | 3 | (n = 264) |
| 0.7 – 0.79 | 2 | |
| > 0.79 | 1 | |

| Price index | Rank | Remarks |
|-------------|------|---|
| Very low | 6 | A species with low market price was given higher ranking, |
| Low | 5 | considering that it is not targeted by fishers. |
| Medium 1 | 4 | Source: CMFRI database |
| Medium 2 | 3 | (n = 264) |
| High | 2 | |
| Very high | 1 | |

c) Distribution

| Distribution (% | Rank | Remarks |
|-----------------|------|---|
| of continental | | |
| shelf area) | | |
| > 80 | 6 | Catch along each maritime state was taken as a surrogate of |
| 66 - 80 | 5 | distribution of species. The number of states contributing |
| 51 - 65 | 4 | to the catch was considered for arriving at area of |
| 36 - 50 | 3 | distribution of species in km ² . The species distributed in |
| 21 - 35 | 2 | larger areas was given higher rank. |
| < 21 | 1 | Source: Estimated from CMFRI database |
| | | (n = 264) |

d) Habitat Productivity

| Coastal | Rank | Remarks |
|--------------|------|--|
| Productivity | | |
| Index (CPI) | | |
| > 550 | 6 | Estimated as a product of monthly Coastal Upwelling |
| 401 - 550 | 5 | Index (CUI) and Chlorophyll a concentrations from 30 lat- |
| 251 - 400 | 4 | long positions along the Indian coast for the period 1998- |
| 151 - 250 | 3 | 2008. The monthly values were averaged to arrive at |
| 101 - 150 | 2 | annual values for each maritime state. This was linked to |
| < 101 | 1 | the species distribution index to arrive at the CPI. Species |
| | | maximally distributed in highly productive waters are given |
| | | higher score. |
| | | Source: CUI: ERD of NOAA; Chlorophyll: SeaWiFS; |
| | | Species distribution: CMFRI database |
| | | (n = 264) |

Interim Results of the siFISH Analysis

The ranking was made for 98 species and 140 stocks from 264 records. Since more records are to be added to the siFISH database an interim results of the analysis is presented below. The first table shows the sustainability ranking by species and region along the coefficient of variation (CV). The sustainability index ranged from 2.17 (*Carcharhinus sorrab*) to 5.45 (*Oratosquills nepa*). Most fishes had values ranging between 3 and 4 indicating medium level of sustainability (see frequency histogram). In general, the elasmobranchs had very low sustainability index values. At the other end of the index were the shrimps, other crustaceans and mollusks. The CV is high for some species because of the variability between regions and periods of original study. Among the 3 regions for which sufficient data are available, the index for NW, SE and SW were 3.67, 3.59 and 3.71 respectively. The mean index value for all the regions was 3.65.

| SpcID | Species | IND | LAK | NE | NW | SE | SW | siFISH | CV |
|-------|-------------------------|------|------|----|------|------|------|--------|-------|
| 0052 | Carcharhinus sorrah | | | | | 2.17 | | 2.17 | 3.59 |
| 0146 | Rhinobatos granulatus | | | | | | 2.27 | 2.27 | 0.00 |
| 0121 | Sphyrna lewini | | | | | | 2.45 | 2.45 | 0.00 |
| 5011 | Sepia aculeata | | | | 2.50 | | | 2.50 | 0.00 |
| 0101 | Rhizoprionodon acutus | | | | 2.45 | | 2.73 | 2.55 | 6.65 |
| 0636 | Ablennes hians | | | | | 2.63 | | 2.63 | 0.00 |
| 0547 | Tachysurus dussumieri | | | | | | 2.70 | 2.70 | 0.00 |
| 1286 | Parastromateus niger | | | | | | 2.75 | 2.75 | 0.00 |
| 1521 | Otolithoides biauritus | | | | 2.78 | | | 2.78 | 0.00 |
| 1202 | Caranx ignobilis | | | | | 2.81 | | 2.81 | 3.27 |
| 1911 | Lepturacanthus savala | | | | 2.90 | | | 2.90 | 0.00 |
| 1916 | Trichiurus lepturus | | | | 3.00 | 2.88 | 2.96 | 2.94 | 10.93 |
| 0048 | Carcharhinus limbatus | | | | | | 3.05 | 3.05 | 10.45 |
| 1261 | Selaroides leptolepis | | | | | 3.09 | | 3.09 | 0.00 |
| 1966 | Scomberomorus commerson | 3.45 | | | | 3.18 | 3.00 | 3.12 | 7.20 |
| 1517 | Otolithes ruber | | | | | | 3.17 | 3.17 | 0.00 |
| 2246 | Cynoglossus arel | | | | 3.20 | | | 3.20 | 0.00 |
| 1612 | Upeneus taeniopterus | | | | | 3.28 | | 3.28 | 2.38 |
| 1977 | Thunnus albacares | | 3.20 | | 3.20 | 3.50 | | 3.30 | 5.25 |

| 0361 | Sardinella gibbosa | | | | | | 3.38 | 3.38 | 0.42 |
|------|-----------------------------|------|------|------|------|------|------|------|-------|
| 0106 | Scoliodon laticaudus | | | | 3.57 | | 3.22 | 3.39 | 8.31 |
| 1363 | Pristipomoides filamentosus | | | | | | 3.40 | 3.40 | 0.00 |
| 1936 | Euthynnus affinis | 3.45 | | | 3.50 | 3.39 | 3.29 | 3.40 | 8.86 |
| 1953 | Rastrelliger kanagurta | | | | | 3.54 | 3.39 | 3.41 | 6.44 |
| 0316 | Tenualosa ilisha | | | 3.42 | | | | 3.42 | 0.00 |
| 1171 | Rachycentron canadum | | | | | | 3.42 | 3.42 | 0.00 |
| 1412 | Leiognathus bindus | | | | | 3.44 | 3.33 | 3.42 | 3.41 |
| 0431 | Chirocentrus dorab | | | | | | 3.45 | 3.45 | 0.00 |
| 1244 | Scomberoides tol | | | | | | 3.45 | 3.45 | 0.00 |
| 5021 | Sepiella inermis | | | | 3.45 | | | 3.45 | 2.05 |
| 1516 | Otolithes cuvieri | | | | 3.48 | | | 3.48 | 1.66 |
| 5026 | Loligo duvaucelii | | | | 3.48 | | 3.50 | 3.49 | 12.20 |
| 0410 | Thryssa mystax | | | | | | 3.50 | 3.50 | 0.00 |
| 0815 | Sphyraena jello | | | | | | 3.50 | 3.50 | 0.00 |
| 1231 | Megalaspis cordyla | | | | | | 3.50 | 3.50 | 5.66 |
| 1489 | Johnieops macrorhynus | | | | 3.50 | | | 3.50 | 0.00 |
| 1967 | Scomberomorus guttatus | | | | | | 3.50 | 3.50 | 0.00 |
| 2306 | Odonus niger | | | | | | 3.50 | 3.50 | 0.00 |
| 4204 | Panulirus polyphagus | | | | 3.50 | | | 3.50 | 0.00 |
| 0376 | Coilia dussumieri | | | | 3.53 | | | 3.53 | 9.24 |
| 0472 | Saurida tumbil | | | | 3.73 | | 3.37 | 3.54 | 10.85 |
| 2186 | Pseudorhombus arsius | | | | | | 3.55 | 3.55 | 0.00 |
| 2011 | Pampus argenteus | | | | | | 3.58 | 3.58 | 0.00 |
| 1186 | Atropus atropos | | | | 3.60 | | | 3.60 | 0.00 |
| 1608 | Upeneus vittatus | | | | | 3.60 | | 3.60 | 0.00 |
| 1946 | Katsuwonus pelamis | | 3.41 | | | 4.00 | | 3.61 | 9.61 |
| 0944 | Ephinephelus diacanthus | | | | 3.67 | | 3.60 | 3.62 | 5.63 |
| 0360 | Sardinella fimbriata | | | | | | 3.64 | 3.64 | 0.00 |
| 0301 | Escualosa thoracata | | | | | | 3.67 | 3.67 | 0.00 |
| 1431 | Secutor insidiator | | | | | 3.65 | 3.71 | 3.67 | 4.15 |
| 2027 | Ariomma indica | | | | | 3.67 | | 3.67 | 0.00 |
| 4066 | Penaeus monodon | | | 3.73 | | 3.65 | | 3.67 | 3.03 |
| 1511 | Nibea maculata | | | | | 3.68 | | 3.68 | 1.73 |
| 0389 | Stolephorus devisi | | | | | | 3.70 | 3.70 | 4.19 |
| 1488 | Johnieops vogleri | | | | 3.70 | | | 3.70 | 0.00 |
| 1927 | Auxis thazard | | | | 3.64 | 3.67 | 3.79 | 3.72 | 6.51 |
| 4032 | Metapenaeus brevicornis | | | | 3.72 | | | 3.72 | 2.09 |
| 0291 | Dussumieria acuta | | | | | | 3.73 | 3.73 | 0.00 |
| 0387 | Stolephorus punctifer | | | | | | 3.73 | 3.73 | 0.00 |
| 0386 | Stolephorus waitei | | | | | | 3.74 | 3.74 | 4.40 |
| 1979 | Thunnus tonggol | | | | 3.91 | | 3.58 | 3.74 | 6.23 |
| 0362 | Sardinella longiceps | | | | | | 3.75 | 3.75 | 5.46 |
| 1367 | Nemipterus japonicus | 3.83 | | | 3.76 | 3.78 | 3.72 | 3.76 | 5.24 |
| 0473 | Saurida undosquamis | | | | | 3.90 | 3.58 | 3.78 | 4.87 |
| 1606 | Upeneus sulphureus | | | 3.91 | | 3.71 | | 3.78 | 6.27 |
| 1181 | Alepes djeddaba | | | İ | | | 3.82 | 3.82 | 0.00 |
| 1926 | Auxis rochei | | | | | | 3.82 | 3.82 | 3.33 |
| 1487 | Johnieops sina | | | | 3.90 | | 3.82 | 3.86 | 1.47 |
| 1184 | Alepes kalla | | - | 1 | - | - | 3.87 | 3.87 | 1.83 |
| 0501 | Harpadon nehereus | | | İ | 3.91 | | | 3.91 | 5.54 |
| 1498 | Johnius dussumieri | | | | 3.91 | | | 3.91 | 0.00 |

| 4316 | Portunus pelagicus | | | | 3.85 | 4.08 | 3.94 | 3.61 |
|------|----------------------------|------|------|------|------|------|------|------|
| 0817 | Sphyraena obtusata | | | | 4.00 | 3.91 | 3.95 | 1.61 |
| 1211 | Decapterus russelli | | | | 4.09 | 3.83 | 4.00 | 4.37 |
| 1252 | Selar crumenophthalmus | | | | | 4.00 | 4.00 | 0.00 |
| 1419 | Leiognathus jonesi | | | | 4.00 | | 4.00 | 2.50 |
| 1007 | Priacanthus hamrur | | 4.09 | 3.97 | | 4.17 | 4.04 | 6.24 |
| 1166 | Lactarius lactarius | | | | | 4.08 | 4.08 | 2.94 |
| 1486 | Johnieops aneus | | | | 4.10 | | 4.10 | 0.00 |
| 2252 | Cynoglossus macrostomus | | | | | 4.13 | 4.13 | 2.35 |
| 1213 | Decapterus macrosoma | | | | | 4.14 | 4.14 | 4.62 |
| 4031 | Metapenaeus affinis | | | 4.15 | | | 4.15 | 1.70 |
| 1369 | Nemipterus mesoprion | | | 4.35 | | 4.13 | 4.19 | 6.72 |
| 4042 | Parapenaeopsis hardwickii | | | 4.25 | | | 4.25 | 8.32 |
| 4317 | Portunus sanguinolentus | | | | | 4.26 | 4.26 | 6.94 |
| 4111 | Exhippolysmata ensirostris | | | 4.27 | | | 4.27 | 0.00 |
| 2126 | Grammoplites suppositus | | | | | 4.36 | 4.36 | 0.00 |
| 5013 | Sepia pharaonis | | | | | 4.36 | 4.36 | 0.00 |
| 4033 | Metapenaeus dobsoni | | | | 4.40 | 4.35 | 4.38 | 1.14 |
| 4035 | Metapenaeus monoceros | 4.38 | | 4.25 | 4.80 | 4.37 | 4.42 | 5.09 |
| 4101 | Nematopalaemon tenuipes | | | 4.60 | | | 4.60 | 0.00 |
| 5012 | Sepia elliptica | | | | | 4.60 | 4.60 | 0.00 |
| 4045 | Parapenaeopsis stylifera | | | 4.45 | | 4.80 | 4.63 | 4.46 |
| 4082 | Acetes indicus | | | 4.81 | | 4.33 | 4.64 | 6.29 |
| 4008 | Solenocera choprai | | | | | 5.00 | 5.00 | 0.00 |
| 4891 | Paphia malabarica | | | | | 5.00 | 5.00 | 4.37 |
| 4401 | Oratosquilla nepa | | | | | 5.45 | 5.45 | 0.00 |

The family-wise index values are shown below. The elasmobranch families had low sustainability index values and crustacean families had high values. Among teleosts, Belonidae, Ariidae and Trichuiridae had low sustainability index values.

| Family | Average Sustainability Index | CV |
|----------------|------------------------------|-------|
| RHINOBATIDAE | 2.27 | 0 |
| SPHYRNIDAE | 2.45 | 0 |
| BELONIDAE | 2.63 | 0 |
| ARIIDAE | 2.70 | 0 |
| TRICHUIRIDAE | 2.93 | 10.33 |
| CARCHARHINIDAE | 2.96 | 18.36 |
| LUTJANIDAE | 3.40 | 0 |
| RACHYCENTRIDAE | 3.42 | 0 |
| CHIROCENTRIDAE | 3.45 | 0 |
| SCOMBRIDAE | 3.46 | 8.76 |
| BALISTIDAE | 3.50 | 0 |
| Palinuridae | 3.50 | 0 |
| Loliginidae | 3.50 | 12.2 |
| BOTHIDAE | 3.55 | 0 |
| MULLIDAE | 3.58 | 8.07 |
| STROMATEIDAE | 3.58 | 0 |
| SCIAENIDAE | 3.59 | 9.6 |
| LEIOGNATHIDAE | 3.60 | 6.61 |

| CLUPEIDAE | 3.61 | 5.39 |
|-----------------|------|-------|
| CARANGIDAE | 3.61 | 13.54 |
| SYNODONTIDAE | 3.62 | 9.72 |
| SERRANIDAE | 3.62 | 5.63 |
| ENGRAULIDAE | 3.67 | 5.58 |
| ARIOMMIDAE | 3.67 | 0 |
| Sepiidae | 3.67 | 22.83 |
| SPHYRAENIDAE | 3.80 | 7.01 |
| NEMIPTERIDAE | 3.89 | 7.78 |
| HARPADONTIDAE | 3.91 | 5.54 |
| CYNOGLOSSIDAE | 3.99 | 8.99 |
| PRIACANTHIDAE | 4.04 | 6.24 |
| LACTARIIDAE | 4.09 | 2.94 |
| Portunidae | 4.12 | 6.98 |
| PENAEIDAE | 4.26 | 8.57 |
| Hippolytidae | 4.27 | 0 |
| PLATYCEPHALIDAE | 4.36 | 0 |
| Palaemonidae | 4.60 | 0 |
| SERGESTIDAE | 4.65 | 6.29 |
| SOLENOCERIDAE | 5.00 | 0 |
| Veneridae | 5.02 | 4.37 |
| Squillidae | 5.45 | 0 |

When the values were compared based on ISCAAP codes, the index was lowest for sharks and rays and highest for miscellaneous crustaceans. Since several species groups were pooled for this estimation, the CV values were generally high.

| | Sustainability | |
|-----------------------------------|----------------|-------|
| ISSCAP CODE | Index | CV |
| 31 – flatfishes | 3.93 | 9.32 |
| 33 – Misc coastal fishes | 3.67 | 7.11 |
| 34 – Misc Demersal fishes | 3.71 | 9.35 |
| 35 – Sardines, anchovies | 3.64 | 5.42 |
| 36 – Tunas, sailfish | 3.55 | 8.41 |
| 37 – Misc pelagic fishes | 3.39 | 13.73 |
| 38 – Sharks, rays | 2.88 | 18.98 |
| 42 – Crabs | 4.12 | 6.98 |
| 43 – Lobsters | 3.50 | 0 |
| 45 – Shrimps | 4.34 | 8.67 |
| 47 – Misc crustaceans | 5.45 | 0 |
| 56 – Clams | 5.02 | 4.37 |
| 57 – Squid, cuttlefishes, octopus | 3.60 | 18.19 |

Among the 264 records for which Sustainability Index has been generated in a scale of 1 to 6, a major part of records (68% of total) was between 3 and 4 in sustainability ranking. Thirty five records are below the Index 3, and these stocks may be considered to indicate vulnerability. It is found that 12 species have Index values below 3, which may be considered as vulnerable species. They are: the sharks *Carcharhinus sorrah, Sphyrna lewini* and *Rhizoprionodon acutus*, the guitarfish *Rhinobatos granulatus*, the needlefish *Ablennes hians*, the catfish *Tachysurus dussumieri*, the black pomfret *Parastromateus niger*, the sciaenid *Otolithoides biauritus*, the giant trevally *Caranx ignobilis*, the ribbonfish *Lepturacanthus savala* and *Trichiurus lepturus* and the cuttlefish *Sepia aculeate*.



Figure showing frequency histogram of Sustainability Index of Indian marine fish stocks/ species (n = 264).

Validation of simple stock assessment method of NMFS proposed by FAO

We attempted an analysis based on the Depletion-Adjusted Average Catch method (MacCall, 2007), for two species namely the threadfin bream *Nemipterus japonicus* and the squid *Loligo duvanceli*, for which stock estimates by using conventional methods are available.

For N. japonicus, we used time series data on catch by trawlers for 1979-2003 period off Chennai (southeast coast of India) and natural mortality estimate, M = 2.53 estimated using Pauly's empirical formula. The time series data used did not show any clear decreasing catch trend. When we give alpha the suggested minimum value 0.4 then both beta and delta have to be as low as 0.15 and 0.1 to get positive estimates of biomass. Also, the yield estimate continues to increase and does not reduce at any stage and the maximum is for the year 2003. This does not allow estimation of MSY as there is no peak in the series of yields estimated.







Data sets used for the two Indian species for validation are given below:

| Nemip | Nemipterus japonicus landed by trawlers at Chennai | | | | | | | | |
|-------|--|----------|--------|----------|---------|--|--|--|--|
| | Μ | 2.5254 | WbY | 1.237 | | | | | |
| | alpha | 0.4 | rest | 0.323251 | | | | | |
| | Beta | 0.16 | MSY | 813.214 | | | | | |
| | Delta | 0.1 | K | 10062.93 | | | | | |
| | | | | | | | | | |
| Year | NJcatch | CumCatch | U(t) | Y(t) | B(t) | | | | |
| 1979 | 66.3 | 66.3 | 2.237 | 29.6 | 10062.9 | | | | |
| 1980 | 76.8 | 143.1 | 3.237 | 44.2 | 9996.6 | | | | |
| 1981 | 187.7 | 330.8 | 4.237 | 78.1 | 9941.1 | | | | |
| 1982 | 598.6 | 929.4 | 5.237 | 177.5 | 9792.3 | | | | |
| 1983 | 482.7 | 1412.1 | 6.237 | 226.4 | 9278.8 | | | | |
| 1984 | 231.4 | 1643.5 | 7.237 | 227.1 | 9029.8 | | | | |
| 1985 | 228.4 | 1871.9 | 8.237 | 227.2 | 9098.1 | | | | |
| 1986 | 327.3 | 2199.2 | 9.237 | 238.1 | 9151.7 | | | | |
| 1987 | 1238.3 | 3437.5 | 10.237 | 335.8 | 9092.3 | | | | |
| 1988 | 464.3 | 3901.9 | 11.237 | 347.2 | 8137.5 | | | | |
| 1989 | 558.4 | 4460.2 | 12.237 | 364.5 | 8176.5 | | | | |
| 1990 | 1352.4 | 5812.6 | 13.237 | 439.1 | 8113.6 | | | | |
| 1991 | 869.9 | 6682.5 | 14.237 | 469.4 | 7269.3 | | | | |
| 1992 | 2179.3 | 8861.7 | 15.237 | 581.6 | 7051.7 | | | | |
| 1993 | 1523.3 | 10385.1 | 16.237 | 639.6 | 5554.6 | | | | |
| 1994 | 1758.2 | 12143.3 | 17.237 | 704.5 | 4835.7 | | | | |
| 1995 | 1330.1 | 13473.4 | 18.237 | 738.8 | 3889.4 | | | | |
| 1996 | 1190.3 | 14663.7 | 19.237 | 762.2 | 3330.7 | | | | |
| 1997 | 577.8 | 15241.5 | 20.237 | 753.1 | 2860.6 | | | | |
| 1998 | 673.7 | 15915.2 | 21.237 | 749.4 | 2944.6 | | | | |
| 1999 | 626.0 | 16541.2 | 22.237 | 743.8 | 2944.3 | | | | |
| 2000 | 834.1 | 17375.3 | 23.237 | 747.7 | 2991.6 | | | | |
| 2001 | 964.2 | 18339.5 | 24.237 | 756.7 | 2837.0 | | | | |
| 2002 | 1890.2 | 20229.7 | 25.237 | 801.6 | 2531.3 | | | | |
| 2003 | 1106.9 | 21336.6 | 26.237 | 813.2 | 1253.5 | | | | |

| Loligo duvauceli catch Kerala | | | | | | | |
|-------------------------------|---------|----------|-------|---------|----------|------|----------|
| Μ | 2.14 | beta | 0.35 | WbY | 0.242748 | K | 89075.09 |
| Alpha | 0.22 | delta | 0.02 | rest | 0.32956 | Fmsy | 0.16478 |
| | | | | MSY | 7338.90 | Bmsy | 44537.55 |
| | | | | | | | |
| Year | LDcatch | CumCatch | U(t) | Y(t) | B(t) | | |
| 1980 | 2037 | 2037 | 1.24 | 1639.21 | 89075 | | |
| 1981 | 1140 | 3178 | 2.24 | 1416.83 | 87038 | | |
| 1982 | 1697 | 4875 | 3.24 | 1503.32 | 86553 | | |
| 1983 | 829 | 5704 | 4.24 | 1344.37 | 85664 | | |
| 1984 | 2595 | 8299 | 5.24 | 1582.90 | 85916 | | |
| 1985 | 3396 | 11695 | 6.24 | 1873.33 | 84325 | | |
| 1986 | 6145 | 17839 | 7.24 | 2463.07 | 82411 | | |
| 1987 | 3089 | 20929 | 8.24 | 2539.05 | 78298 | | |
| 1988 | 6214 | 27142 | 9.24 | 2936.61 | 78331 | | |
| 1989 | 8691 | 35833 | 10.24 | 3498.37 | 75231 | | |
| 1990 | 8954 | 44787 | 11.24 | 3983.67 | 70394 | | |
| 1991 | 6936 | 51723 | 12.24 | 4224.80 | 66305 | | |
| 1992 | 11329 | 63052 | 13.24 | 4761.26 | 64955 | | |
| 1993 | 10532 | 73584 | 14.24 | 5166.45 | 59422 | | |
| 1994 | 13664 | 87248 | 15.24 | 5723.91 | 55409 | | |
| 1995 | 16082 | 103330 | 16.24 | 6361.58 | 48647 | | |
| 1996 | 12002 | 115332 | 17.24 | 6688.72 | 39842 | | |
| 1997 | 9820 | 125152 | 18.24 | 6860.38 | 35097 | | |
| 1998 | 8562 | 133715 | 19.24 | 6948.83 | 32286 | | |
| 1999 | 8274 | 141988 | 20.24 | 7014.28 | 30507 | | |
| 2000 | 8116 | 150104 | 21.24 | 7066.15 | 28844 | | |
| 2001 | 7902 | 158006 | 22.24 | 7103.72 | 27156 | | |
| 2002 | 7589 | 165595 | 23.24 | 7124.58 | 25475 | | |
| 2003 | 7092 | 172687 | 24.24 | 7123.24 | 23881 | | |
| 2004 | 10838 | 183525 | 25.24 | 7270.39 | 22549 |] | |
| 2005 | 6921 | 190446 | 26.24 | 7257.09 | 17261 | 1 | |
| 2006 | 9486 | 199932 | 27.24 | 7338.90 | 14926 | 1 | |
| 2007 | 3764 | 203696 | 28.24 | 7212.33 | 9535 |] | |
| 2008 | 10533 | 214229 | 29.24 | 7325.89 | 8577 | 1 | |

Negative biomass values were encountered probably because of high M values (which is common for tropical stocks) estimated empirically using Pauly's method. In the case of widow rockfish from USA (MacCall, 2007), the M value used was 0.15 only. On the other hand, the M value records (n = 264) available for Indian species ranges from 0.18 for the catfish *Tachysurus dussumieri* to 4.26 for the paste shrimp *Acetes indicus*.

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